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L.C. 09-26-01
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Calvin Lo et al.

Application No. Unassigned

Filed: August 23, 2001

For: IMPROVEMENTS FOR THIN-FILM
TAPE HEAD PROCESSES

09938458

Group Art Unit: Unassigned

Examiner: Unassigned

Atty. Docket No. SJ09-2000-0201US1/
IBM1P007

Date: August 30, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on

August 30, 2001

Signed:

Erica L. Mann

Erica L. Mann

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56 AND 1.97(b)

Commissioner for Patents
Washington, DC 20231

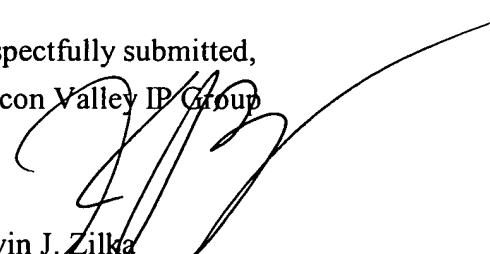
Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-1351 (Order No. SJ09-2000-0201US1/IBM1P007).

Respectfully submitted,
Silicon Valley IP Group



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|----------------------------------------------------------|----------------------------------------------------|--------------------------------|
| Form 1449 (Modified) | Atty. Docket No. SJO9-20000-0201US2US1/IBM1P007 | Application No.: Unassigned |
| Information Disclosure Statement By Applicant | Applicant: Calvin Lo et al. | Group Art Unit: Unassigned |
| (Use Several Sheets if Necessary) | Filing Date: 08/23/2001 | |

U.S. Patent Documents

| Examiner Initial | No. | Patent No. | Date | Patentee | Class | Sub-class | Filing Date |
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Foreign Patent or Published Foreign Patent Application

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation | |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
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| | M | | | | | | | |
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| | P | | | | | | | |

Other Documents

| Examiner Initial | No. | Author, Title, Date, Place (e.g. Journal) of Publication |
|------------------|-----|----------------------------------------------------------|
| | R | |
| | S | |
| | T | |
| Examiner | | Date Considered |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.